

# Improving image classifiers for small datasets by learning rate adaptations

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## Abstract

*Our paper introduces an efficient combination of established techniques to improve classifier performance, in terms of accuracy and training time. We achieve two-fold to ten-fold speedup in nearing state of the art accuracy, over different model architectures, by dynamically tuning the learning rate. We find it especially beneficial in the case of small datasets, where reliability of machine reasoning is lower. We validate our approach by comparing our method versus vanilla training on CIFAR-10. We also demonstrate its practical viability by implementing on an unbalanced corpus of diagnostic images.*

## 1 Introduction

Image classification via deep learning has seen rapid improvements in the last few years. First introduced as a visual recognition challenge, its scope has permeated from industrial applications to medical uses [1]. Various new architectures have been proposed since the introduction of AlexNet in 2012 [2], such as ResNet, DenseNet and Inception [3, 4, 5]. These architectures have become the mainstay in most computer vision applications, as per current literature.

In addition to emergence of new architectures, we have also observed a steady increase in the accuracy of classification reported on standard datasets. However, this improvement cannot be solely attributed to the architectures alone. Learning paradigms such as newer loss functions, optimization methods such as Dropout [6], and DropBlock [7] along with better pre-processing routines have contributed to higher accuracy. However, some bottlenecks still remain. Conventional methods of training models require a large amount of data, often augmented or imputed from the original dataset to make it balanced. The phase of training also happens to be the longest task in the deep learning process. With the advent of cloud computing, much of the machine learning tasks have shifted

to portals such as Amazon Web Services and Microsoft Azure. Larger architectures usually imply longer running tasks translating to higher billed costs. This is of paramount importance to research groups or startups working on limited time and financial resources to deliver outcomes.

To alleviate this training performance bottleneck, a large number of workarounds have been proposed over the years, albeit with lesser attention. In this paper, we have proposed an organic combination of existing techniques and some derivative ideas, focused on the hyperparameter of learning rate. We allow it to adapt predictably over the training phase to improve time (measured as wall time) as well as accuracy (measured as validation accuracy). Our empirical evaluations have led us to conclude that learning rate adaptation is an under-exploited resource in making a difference on the overall model performance.

In this paper we have presented the following:

- We propose a scheme of reducing the training time and increasing validation accuracy by means of cosine rate annealing, annealing cycle length adaptation and differential learning rates.
- We benchmark our scheme against conventional training paradigms, using CIFAR-10 dataset and quantify the speedup factor.
- We demonstrate the viability of this method on a corpus of dermatological diagnostic images which has an unbalanced nature.

Our paper is organized as follows. Following this *Introduction* we elaborate on the *Methods* in Section 2, describing the *modus operandi* of baseline determination, modified scheme and benchmarking. We present the results in Section 3 and demonstrate a practical application in Section 4. We conclude with Section 5 containing discussion & inferences drawn.

## 2 Methods

### 2.1 Baseline Preparation

For computing the baseline, we chose multi-class classification on the CIFAR-10 dataset [8]. Our rationale of this choice was to select a data corpus reported commonly in contemporary literature, exhibiting sufficient variety and yet smaller than ImageNet or CIFAR-100 [1]. Our classifier was built on PyTorch v0.4 framework with commonly recommended practices such as dynamic augmentation, early stopping and an option to resume training with a different (but fixed) learning rate. Pretrained ResNet-34, ResNet-50, ResNet-101, ResNet-152 and DenseNet-161 were chosen as the candidate architectures; a single GPU (NVIDIA Titan Xp 12 GB HBM2) was utilized for all the measurements. The batch size was set at 32.

Prior to model learning, we normalized the data with the recommended mean (0.4914, 0.4822, 0.4465) and standard deviation (0.2023, 0.1994, 0.2010). The images were split in ratio of 5:1 into training and validation set. We performed dynamic in-memory augmentation by crop, horizontal & vertical flips. Additionally we introduced other augmentation methods such as random-zoom by the *imgaug* package from Python repository.

All the models were trained to at least 90% validation accuracy for fair comparison. The training was commended with learning rate  $\alpha = 0.01$  and restarted manually with lower value ( $\alpha = 0.001$ ), whenever early stopping forced the training to halt. Setting sufficient number of epochs to train was chosen based on prior experience. The accuracy of models and time to train are elucidated in Table 1.

### 2.2 Finding Initial Learning Rate

To optimize training performance, we focused on keeping learning rate  $\alpha$  suitable throughout the model learning. Conventional wisdom dictates that learning rates should monotonically decrease during the course of training. However, starting with a value significantly smaller than theoretical optimum could lead to cost function never converging towards global minimum; similarly a larger value will lead to divergence or overfitting. It led to us to the pertinent question of determining an optimal initial learning rate. To accomplish this objective, we introduced an initial rate finder described by Smith et al. [9]. The implementation used several mini-batches with gradually increasing values of  $\alpha$ , until the loss computed at end of each batch started decreasing dramatically. Finding the rate of change of loss, we could zero down to a good learning rate to begin. Figures 1 and 2 illustrate the learning rate range test for DenseNet-161.

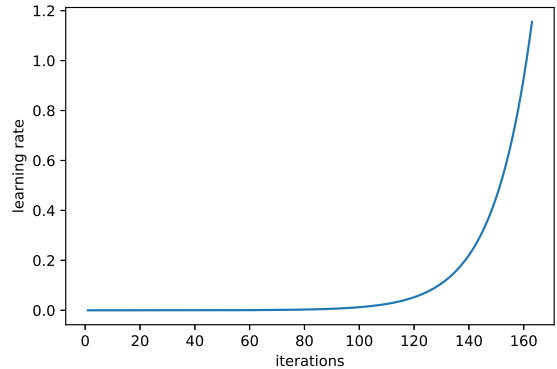


Figure 1: Determination of most suitable initial learning rate. The learning rate is systematically increased over a large range to determine where the losses start reducing.

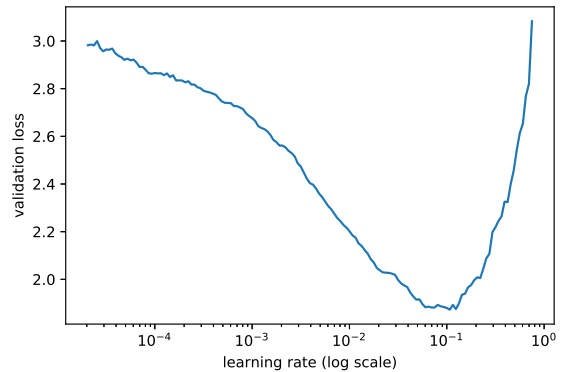


Figure 2: Plot of the losses measured over test mini-batches to determine the optimum initial learning rate.

### 2.3 Cosine Rate Annealing

Following determination of the best initial rate, we chose to train the network with transforms similar to our baseline measurement. The differences we introduced at this stage were to employ L2 regularization and running a pass through our data to pre-compute activation values for the final layer, keeping all other layers frozen. As the first step, we froze all layers except the final layer to retain the complex features from ImageNet training. Initial shaping of the final layer promised performance advantages. Rather than keeping the learning rate fixed from what was determined, we adopted Stochastic Gradient Descent with Restarts (SGD-R), where cosine rate annealing gradually decreased the learning rate over the epoch from a designated value to zero [10]. The scheduling is governed as shown in Equation 1:

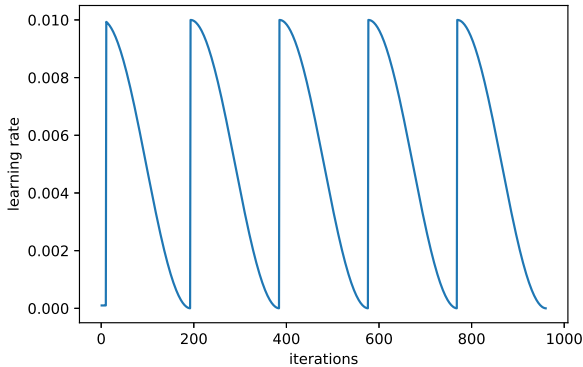


Figure 3: Plot of the cosine rate annealing over each epoch. The learning rate decreases from the pre-computed optimal value to a minimum, over the course of epoch only to again restart with a new epoch.

$$\nu_t = \frac{1}{2} \left( 1 + \nu \cos \left( \frac{t\pi}{T} \right) \right) + \nu_{min} \quad (1)$$

where  $\nu$  is the initial learning rate,  $t$  is the iteration over the epoch, and  $T$  is the total number of iterations to cover a epoch. Up to 10 epochs were run using this method, until the validation accuracy stabilized. This scheduling operation is illustrated by Figure 3 for a training task carried out on DenseNet-161.

## 2.4 Cycle Length Adaptation and Differential Learning Rates

Our final step in the optimization scheme was to unfreeze all the network layers, and use differential learning rates for separate sections of the networks. Before diving into the rationale, we introduce:

**Differential Learning Rate (DLR)** involved the process of assigning three separate learning rates, spanning the length of network & adhering to cosine rate annealing over the cycle length.

**Cycle Length Adaptation (CLA)** involved extending the cosine annealing over progressively more integral number of epochs (with each subsequent cycle).

Initial layers of convolutional neural networks (CNN) typically capture rudimentary features, with complexity of the same increasing in later layers. More volatility can be envisioned in higher layer with each pass of stochastic gradient descent (SGD). Therefore assigning a very low-rate of  $\alpha = 0.0001$ , left the initial layers virtually undisturbed, whereas a moderately high rate of  $\alpha = 0.01$  allowed elasticity for change in the higher layers. The mid-section of the CNN was assigned a rate of  $\alpha = 0.001$ .

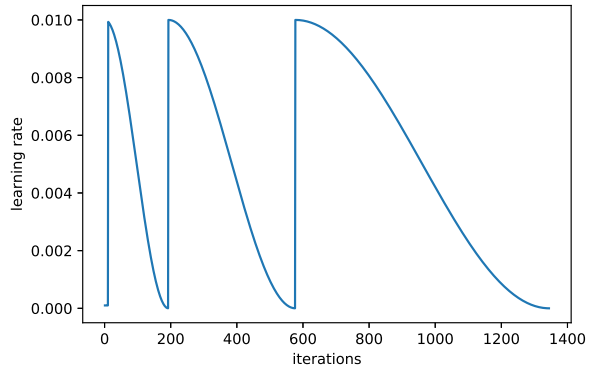


Figure 4: Cosine rate annealing extends to progressively higher number of epochs in cycle length adaptation.

Concurrent with changing the designated learning rates over different sections, we also extended the cycle length of cosine annealing, following  $l = 2^n$  epochs, where  $n$  indicated the cycle number and  $l$  indicated the calculated number of epochs to conduct the anneal cycle. As the model trained closer towards global minima, the parameters needed less perturbations. Gradually slowing down the SGD-R process with cycle length adaptation, guaranteed a better convergence towards global minima. The aforementioned step is illustrated by Figure 4, implemented on DenseNet-161.

## 3 Results

The results from conventional training, described in Section 2.1, are illustrated in Table 1. For all the candidate architectures, early stopping halted model learning before empirically assigned maximum epochs. As stated previously, the training was resumed with  $\alpha = 0.001$ , with a target to achieve a minimum of 90% validation accuracy. We have recorded learning duration and stable validation accuracy attained by early stopping with both the learning rates. Total time, which is a sum total of all learning durations, is presented in the last column.

The results from our optimization scheme are illustrated in Table 2. The columns highlight wall time recorded for phases described in Sections 2.2 through 2.4. The speedup factor on total time is indicated in the last column.

A confusion matrix for evaluation of the validation process on DenseNet-161 is presented in Figure 5. Matrices for other architectures are in the Appendix. We offer a few observations at this stage. We can see that models tune to near state-of-the-art accuracy values, without a high training time. Furthermore, they are capable of learning without over-fitting, on an orthodox choice of 5:1 training validation split of CIFAR-10.

Table 1: Training on CIFAR-10 with conventional method

Model	Accuracy ( $\alpha=0.01$ )	Time (s)	Accuracy ( $\alpha = 0.001$ )	Time (s)	Total time (s)
ResNet-34	86.25%	14749	90.36%	3008	17757
ResNet-50	86.56%	32596	90.54%	1442	34039
ResNet-101	86.35%	58315	90.71%	2323	60639
ResNet-152	86.42%	88520	90.68%	3367	91888
DenseNet-161	89.88%	51109	93.02%	3518	54628

Table 2: Training on CIFAR-10 with optimization scheme

Model	Acc. with SGD-R	Time (s)	Acc. with DLR+CLA	Time (s)	Total time	Speedup factor
ResNet-34	82.45%	3816	96.84%	5840	9565	1.84
ResNet-50	80.34%	5345	96.82%	6472	11817	2.88
ResNet-101	84.20%	2676	97.61%	3998	6673	9.09
ResNet-152	82.50%	3517	97.78%	5496	9012	10.20
DenseNet-161	82.89%	2001	97.15%	5195	7195	7.59

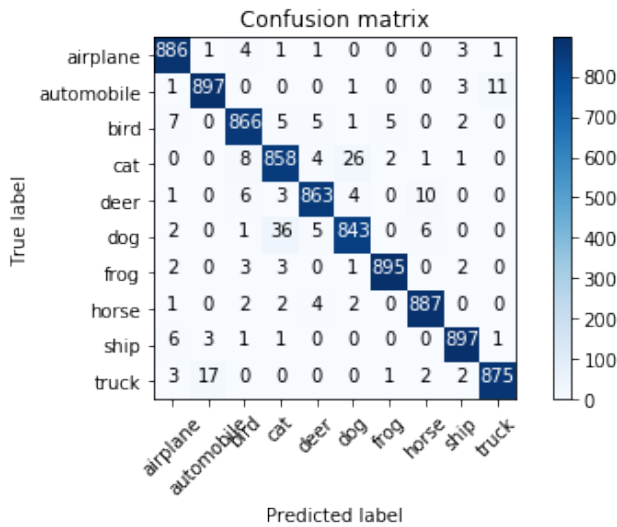


Figure 5: Confusion matrix for the quality of evaluation for CIFAR-10 on DenseNet-161

This demonstrates that learning rate is effective tool even with a smaller corpus of images. Most importantly, we observe that larger architecture receive better performance gains than smaller ones. We believe this is a valuable trait of our scheme, since current research is progressively moving towards using larger quantities of data and bigger architectures.

## 4 Application

To investigate benefits of our optimization technique and draw inferences, we introduce an application based on medical diagnostics for which this scheme was envi-

sioned.

Dermatological diseases exhibit a wide variety in their manifestation. At a time when demand for medical consultation is rising, there is a severe under-supply of dermatologists in many countries. The number of practitioners in US has plateaued at 3.6 per 100,000 people and several East Asian countries advocate mobile clinics or tele-medicine [11]. In the absence of immediate avenues, people resort to general practitioners (GP). Statistics indicate that the opinions of GPs are concurrent with dermatologists only 57% of the time [12]. We attempt to provide machine learning based solutions to bridge this gap with a two-fold aim: To reduce workload of the dermatologist by aiding faster screening & provide customized means to people for detecting possible skin problems

Most open medical image databases are small and unbalanced. Hence, there is a need to develop paradigms for effective training with limited information. Further, since disease labels could get updated periodically, or model requires customization for a new population type, training and deployment needs to be fairly rapid. Our schema manages to fulfill both the objectives, guaranteeing rapid deployment to the production servers. We chose a dermatological dataset with 10 unbalanced classes, containing 7543 images. The train-validation split was done unevenly to mimic a real database. We trained aforementioned architectures with vanilla scheme, followed by our optimization trick. We have compared the stable validation accuracy and total time across different architectures in Table 3. We again observed improved accuracy by around 10% points and speedup between 3.1 and 5.7 times. A confusion matrix based on ResNet-152 is shown in Figure 6.

Table 3: Performance comparison between training schemes on dermatological images

Model	Accuracy (Conventional)	Time (s)	Accuracy (DLR+CLA)	Time (s)	Speedup
ResNet-34	72.62%	1576.33	83.40%	343.20	4.6
ResNet-50	71.88%	2130.27	83.26%	692.64	3.1
ResNet-101	71.70%	4216.22	83.19%	1076.90	3.9
ResNet-152	71.56%	5437.72	84.90%	958.88	5.7
DenseNet-161	78.02%	4156.75	84.61%	946.14	4.4

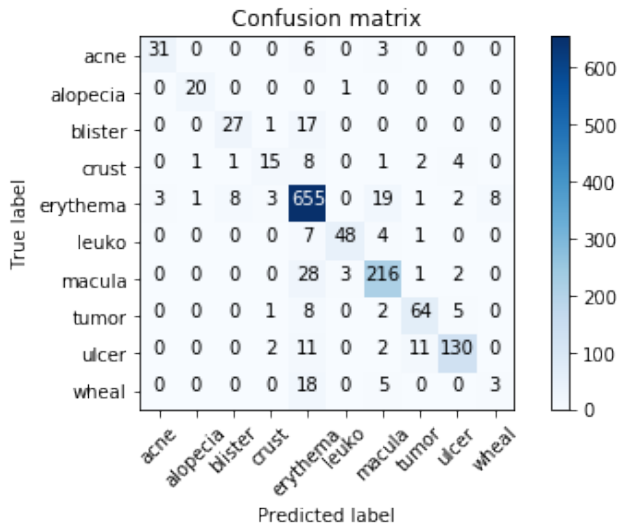


Figure 6: Confusion matrix for the quality of evaluation on dermatological dataset classified by learning on a pre-trained ResNet-152

## 5 Conclusion

We have demonstrated that there is much room for performance improvement in classical techniques by tuning the learning rate over the course of the training. It not only speeds up the convergence, but allows better model fits, increasing validation accuracy. By slowing down learning in initial layers of CNN, it is easy to shift the bulk of computation towards the final layers, resulting in optimization of the process. Further, we observed that because of a fine-grained learning scheme, the models are more immune to learning bias in an unbalanced dataset, in comparison to conventional means.

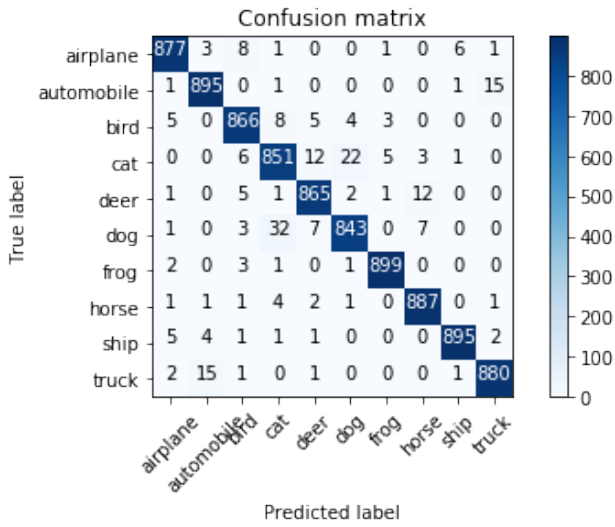
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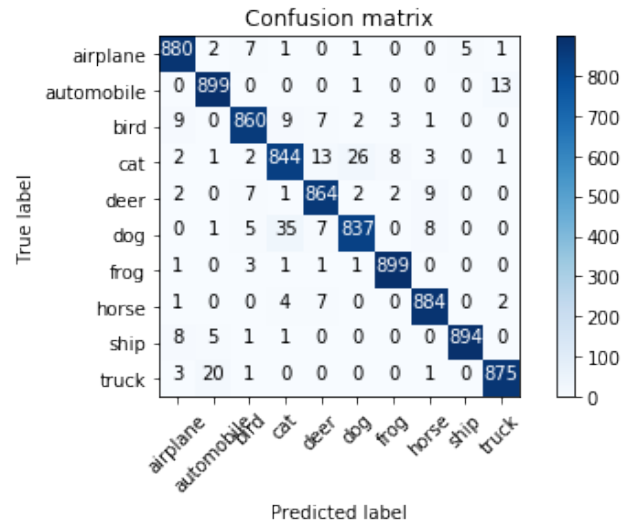
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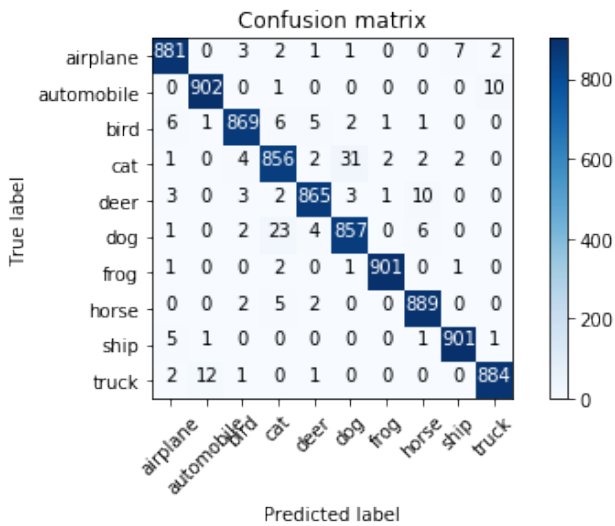
# Appendix



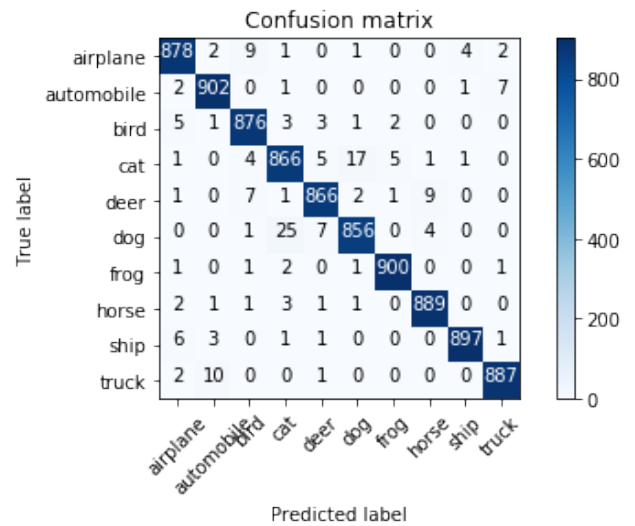
(a) ResNet-34



(b) ResNet-50



(c) ResNet-101



(d) ResNet-152

Confusion matrices of validation set from different ResNet architectures trained on CIFAR-10 dataset